

DT03 Rec'd PCT/PTO 03 JAN 2005

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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER BGI-154US U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/520210</div>
INTERNATIONAL APPLICATION NO. PCT/US2002/021336	INTERNATIONAL FILING DATE 3 July 2002	PRIORITY DATE CLAIMED
TITLE OF INVENTION      MICROORGANISMS AND PROCESSES FOR ENHANCED PRODUCTION OF PANTOTHENATE		
APPLICANT(S) FOR DO/EO/US      R. Rogers YOCUM <i>et al.</i>		

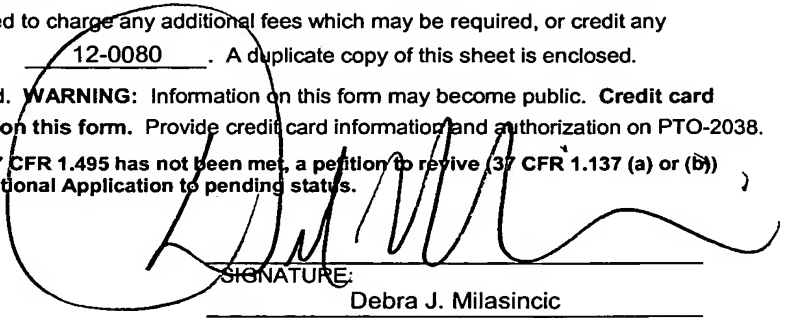
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment. (10 pages)
14. ☒ An Application Data Sheet under 37 CFR 1.76. (3 pages)
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Published International Application with Search Report WO 2004/005527 A1 (93 pages), International Preliminary Examination Report (6 pages), Form PCT/IB/306 (1 page), Certificate of Express Mail (1 page), Return Receipt Postcard

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/520210</b>		INTERNATIONAL APPLICATION NO. PCT/US2002/021336		ATTORNEY'S DOCKET NUMBER BGI-154US	
21. The following fees are submitted: <input checked="" type="checkbox"/> a) Basic national fee ..... \$300.00 <input checked="" type="checkbox"/> b) Examination fee ..... \$200.00 <input checked="" type="checkbox"/> c) Search fee ..... \$500.00  <b>TOTAL OF ABOVE CALCULATIONS = \$1000.00</b>				Applicant Use	Office Use Only
				\$ 300.00	
				\$ 200.00	
				\$ 500.00	
				\$ 1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
68 - 100 =	/50 =		x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	54 - 20 =	34	x 50.00	\$ 1,700.00	
Independent claims	15 - 3 =	12	x 200.00	\$ 2,400.00	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+ 360.00	\$ 360.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 5,590.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				<b>\$ 5,590.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 5,590.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 5,590.00</b>	
Amount to be refunded:					\$
Amount to be charged:					\$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>12-0080</u> in the amount of \$ <u>5,590.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>12-0080</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive 37 CFR 1.137 (a) or (b) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: Giulio A. DeConti, Jr. LAHIVE & COCKFIELD, LLP 28 State Street Boston, Massachusetts 02109 (617) 227-7400 DATE: January 3, 2005 CUSTOMER NUMBER: 00959					
				SIGNATURE:  NAME: <u>Debra J. Milasincic</u>  46,931 REGISTRATION NUMBER	

10/520210

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Application No. (if known): Not Yet Assigned

Attorney Docket No.: BGI-154US

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV 466 144 964 US in an envelope addressed to:

MS PCT  
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Date

  
Signature

Debra J. Milasincic

Typed or printed name of person signing Certificate

46,931  
Registration Number, if applicable

(617) 227-7400  
Telephone Number

**Note:** Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office (2 pages in duplicate), Application Data Sheet (3 pages), Preliminary Amendment (10 pages), Published International Application with Search Report WO 2004/005527 A1 (93 pages), Diskette of Sequence Listing, Transmittal Letter for Diskette (1 page), International Preliminary Examination Report (6 pages), Form PCT/IB/306 (1 page), Certificate of Express Mailing (1 page), Return Receipt Postcard

Charge \$5,590.00 to deposit account 12-0080